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Courant Institute of  
Mathematical Sciences  
Magneto-Fluid Dynamics Division

Stability of the  
Resistive Sheet Pinch

E. M. Barston

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## Abstract

Necessary and sufficient conditions for the exponential stability of equilibria are obtained for the resistive, viscous, incompressible MHD sheet pinch in a gravitational field. Insulating as well as conducting boundaries are considered. The unperturbed magnetic field  $\vec{B}_0$  (horizontal), resistivity  $\eta_0$ , viscosity  $\nu_0$ , and mass density  $\rho_0$  are permitted to be arbitrary (nonconstant) functions of the vertical coordinate consistent with the equilibrium equations. In particular, it is shown that if  $\eta_0$  is not constant and the boundaries are insulating, the pinch cannot be gravitationally stabilized.



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## 1. Introduction.

Resistive plasma instabilities have received considerable study in recent years. In particular, instabilities in the resistive incompressible MHD sheet pinch have been investigated by several authors.<sup>1-7</sup> Most of this work has been restricted to the limiting cases of large or small resistivity.<sup>1-3,6</sup> Roberts and Taylor<sup>4</sup> considered purely gravitational resistive instabilities in a system with small constant shear and constant resistivity. Wei<sup>5</sup> has obtained sufficient conditions for stability in the special case of constant resistivity and viscosity. In this paper we investigate the exponential stability of static equilibria. Necessary and sufficient conditions for stability are obtained without any of the above mentioned approximations or limitations.

We adopt the model of reference 1, with the addition of an arbitrary nonconstant viscosity. The unperturbed state is assumed to be a static equilibrium solution of the model equations. The unperturbed magnetic field  $\vec{B}_0$  (horizontal), resistivity  $\eta_0$ , viscosity  $\nu_0$ , and mass density  $\rho_0$  are permitted to be arbitrary functions of the vertical coordinate  $z$  consistent with the equilibrium equations.

Stability is discussed in terms of the  $L_2$ -norms of the system variables. The variable  $\xi(\vec{x}, t)$  is said to be exponentially stable if and only if for every  $\varepsilon > 0$

there exists a constant  $M_\varepsilon$  such that  $[\int |\xi|^2 dv]^{1/2} = \|\xi\|$   $\leq M_\varepsilon e^{\varepsilon t}$  for all  $t \geq 0$ . Necessary and sufficient conditions for exponential stability of the sheet pinch, as well as the maximal growth rate of the unstable pinch, are obtained directly from the theorems of reference 8 and Section 4 of this paper. These theorems extend the well-known energy principle for conservative systems to dissipative systems of a rather general class, and are valid for systems with discrete or continuous spectra. The maximal growth rate of an unstable system has been shown to be the supremum of a certain functional over the class of negative potential energy states.<sup>8</sup> Calculations of maximal growth rates for the unstable pinch will be presented in a subsequent paper.

In Section 2 we state the nonlinear model equations, derive the equilibria and the perturbation equations, and discuss boundary conditions. Section 3 begins with Theorem 3.1, which gives the necessary and sufficient conditions for the exponential stability of the sheet pinch, and we then proceed to deduce the consequences. Section 4 provides the basis for Theorem 3.1. Section 3 leads, in particular, to the following conclusions (the equilibrium quantities are scaled so that  $B_0^>(z)$  is fixed while  $\hat{\eta}$ , the magnitude of the resistivity, is varied):

1. The stability criteria (but not growth rates) are independent of  $v_0(z)$  and  $\hat{\eta}$ .
2. If  $g \frac{d\rho_0}{dz}$  is positive anywhere ( $g$  is the gravitational acceleration), the pinch is exponentially unstable. This holds for perfectly conducting or insulating boundaries.
3. If  $\vec{B}_0$  or  $\eta_0$  is constant, stability is completely equivalent to gravitational stability, i.e., the pinch is exponentially stable if and only if  $g \frac{d\rho_0}{dz} \leq 0$ . This holds for perfectly conducting or insulating boundaries.
4. For nonconstant  $\eta_0$ , the system is always unstable for sufficiently small wavenumbers with insulating boundaries, and cannot be gravitationally stabilized, but it can be gravitationally stabilized with perfectly conducting boundaries. Strictly speaking, however, perfectly conducting boundaries imply zero shear in the equilibrium magnetic field -- see Section 2(D).
5. Magnetic stabilization is possible with perfectly conducting boundary conditions. For a certain class of nonconstant  $\eta_0$  (e.g., monotone) and no gravity, the imposition of a properly chosen constant zero-order magnetic field will stabilize a previously unstable system.

## 2. The Perturbation Equations

### A. The Model

We consider an infinite horizontal layer of a magnetohydrodynamic fluid satisfying the following system of equations:

$$\nabla \cdot \bar{V}^> = 0 \quad (2.1)$$

$$\frac{\partial \rho}{\partial t} + \bar{V}^> \cdot \nabla \rho = 0 \quad (2.2)$$

$$\begin{aligned} \rho \left\{ \frac{\partial \bar{V}^>}{\partial t} + (\bar{V}^> \cdot \nabla) \bar{V}^> \right\} &= - \bar{\nabla} P - \rho g \bar{e}_z^> + \bar{J}^> \times \bar{B}^> \\ &\quad + \nabla^2 (\nu \bar{V}^>) - \bar{V}^> \nabla^2 \nu - (\nabla \times \bar{V}^>) \times \bar{\nabla} \nu \end{aligned} \quad (2.3)$$

$$\bar{E}^> + \bar{V}^> \times \bar{B}^> = \eta \bar{J}^> \quad (2.4)$$

$$\frac{\partial \bar{B}^>}{\partial t} = - \nabla \times \bar{E}^> \quad (2.5)$$

$$\nabla \times \bar{B}^> = \mu_0 \bar{J}^> \quad (2.6)$$

$$\nabla \cdot \bar{B}^> = 0 \quad (2.7)$$

$$\frac{\partial \eta}{\partial t} + \bar{V}^> \cdot \bar{\nabla} \eta = 0 \quad (2.8)$$

$$\frac{\partial \nu}{\partial t} = ? \quad (2.9)$$

The equations are written in MKS units;  $\mu_0$  is the permeability of free space. The quantity  $\rho(\bar{x}, t)$  denotes the mass density,  $\bar{V}^>(\bar{x}, t)$  the fluid velocity,  $\bar{B}^>(\bar{x}, t)$  the magnetic field,  $P(\bar{x}, t)$  the scalar pressure,

$\bar{J}^>(\vec{x}, t)$  the electric current density,  $\nu(\vec{x}, t)$  the viscosity,  $\eta(\vec{x}, t)$  the resistivity,  $g$  the gravitational acceleration, and  $\bar{E}^>(\vec{x}, t)$  the electric field. We choose a right-handed Cartesian coordinate system  $(x, y, z)$ ;  $z$  is the vertical coordinate with corresponding unit vector  $\hat{e}_z^>$ . The gravitational force is  $-\rho g \hat{e}_z^>$ . Provided the r.h.s. of Eq. (2.9) vanishes for the zero-order solutions of Section B, its precise form is of no importance in the sequel (see Sections B and C).

The quantities  $\bar{E}^>$  and  $\bar{J}^>$  may be eliminated in favor of  $\bar{B}^>$  and  $\bar{V}^>$  by means of Eqs. (2.4) and (2.6), resulting in the set of equations consisting of Eqs. (2.1), (2.2), (2.7), (2.8), (2.9) and

$$\begin{aligned} \rho \left\{ \frac{\partial \bar{V}^>}{\partial t} + (\bar{V}^> \cdot \nabla) \bar{V}^> \right\} &= - \bar{\nabla} P - \rho g \hat{e}_z^> + \mu_0^{-1} (\nabla \times \bar{B}^>) \times \bar{B}^> \\ &\quad + \nabla^2 (\nu \bar{V}^>) - \bar{V}^> \nabla^2 \nu - (\nabla \times \bar{V}^>) \times \nabla \nu \end{aligned} \quad (2.3')$$

$$\frac{\partial \bar{B}^>}{\partial t} = - \nabla \times (\eta \nabla \times \bar{B}^>) \mu_0^{-1} + \nabla \times (\bar{V}^> \times \bar{B}^>). \quad (2.10)$$

$\bar{E}^>$  and  $\bar{J}^>$  are obtained from  $\bar{V}^>$  and  $\bar{B}^>$  by means of

$$\bar{J}^> = \mu_0^{-1} \nabla \times \bar{B}^> \quad (2.6')$$

$$\bar{E}^> = \mu_0^{-1} \eta \nabla \times \bar{B}^> - \bar{V}^> \times \bar{B}^> \quad (2.4')$$

With the exception of our inclusion of a nonconstant viscosity  $\nu$ , our model is identical to that considered in Ref. 1; the reader desiring further commentary on these equations is referred thereto.

## B. The Equilibrium

The boundaries of the fluid are taken to be  $z = 0$  and  $z = a$ . The equilibrium quantities (distinguished by a 0-subscript) are assumed to be independent of  $t$  and the horizontal coordinates  $x$  and  $y$ ; we take

$$\vec{V}_0 \equiv 0 \quad (2.11)$$

(no mass flow) and assume

$$\vec{B}_0 = B_{0x}(z)\vec{e}_x + B_{0y}(z)\vec{e}_y \quad (2.12)$$

With these limitations, the equilibrium quantities are allowed to be arbitrary functions of the vertical coordinate  $z$  consistent with the equations of Section A. Thus  $\rho_0(z)$ ,  $\eta_0(z)$ , and  $\mathbf{v}_0(z)$  are permitted to be arbitrarily prescribed functions of  $z$  on  $[0,a]$  such that  $\rho_0 > 0$ ,  $\eta_0 > 0$ , and  $\mathbf{v}_0 \geq 0$ , and it is easily seen that the equations of Section A will then all be satisfied if and only if the remaining equilibrium quantities  $\vec{E}_0$ ,  $\vec{J}_0$ ,  $\vec{B}_0$ , and  $P_0$  satisfy the following equations:

$$\vec{E}_0 = E_{0x}\vec{e}_x + E_{0y}\vec{e}_y = \text{constant} \quad (2.13)$$

( $E_{0x}$  and  $E_{0y}$  are arbitrarily prescribed constants)

$$\vec{J}_0(z) = \eta_0^{-1}(z)\vec{E}_0 \quad (2.14)$$

$$\vec{B}_0(z) = \vec{B}_0(0) + \mu_0 \vec{E}_0 \times \vec{e}_z \int_0^z \eta_0^{-1}(u) du \quad (2.15)$$

where  $\vec{B}_0(0)$  is an arbitrarily prescribed (constant) horizontal field, and  $P_0$  is given by

$$P_0(z) = P_0(0) + \int_0^z [(\vec{J}_0 \times \vec{B}_0) \cdot \vec{e}_z - g\rho_0] du \quad (2.16)$$

where  $P_0(0)$  is an arbitrary constant. This completes the description of our equilibrium state, which is referred to as the "standard case" in Ref. 1.

### C. The Linearized Equations

The linearization of Eqs. (2.1), (2.2), (2.3'), (2.7), (2.8), (2.9), and (2.10) about the equilibrium solution of Section B leads respectively to the following equations for the perturbed quantities (denoted by subscript 1):

$$\nabla \cdot \vec{V}_1 = 0 \quad (2.17)$$

$$\frac{\partial \rho_1}{\partial t} + v_{1z}\rho_0'(z) = 0 \quad (' \equiv \frac{\partial}{\partial z}) \quad (2.18)$$

$$\begin{aligned} \rho_0 \frac{\partial \vec{V}_1}{\partial t} = & - \vec{\nabla} P_1 - \rho_1 g \vec{e}_z + \mu_0^{-1} (\nabla \times \vec{B}_1) \times \vec{B}_0 + \mu_0^{-1} (\nabla \times \vec{B}_0) \times \vec{B}_1 \\ & + \nabla^2 (v_0 \vec{V}_1) - \vec{V}_1 v_0'' - (\nabla \times \vec{V}_1) \times v_0' \vec{e}_z \end{aligned} \quad (2.19)$$

$$\nabla \cdot \vec{B}_1 = 0 \quad (2.20)$$

$$\frac{\partial \eta_1}{\partial t} + v_{1z}\eta_0' = 0 \quad (2.21)$$

$$\frac{\partial v_1}{\partial t} = ? \quad (2.22)$$

and

$$\frac{\partial \vec{B}_1}{\partial t} = -\nabla \times (\eta_0 \nabla \times \vec{B}_1) \mu_0^{-1} - \mu_0^{-1} \nabla \times (\eta_1 \nabla \times \vec{B}_0) + \nabla \times (\vec{V}_1 \times \vec{E}_0). \quad (2.23)$$

Note that  $v_1$  does not appear in Eqs. (2.17)-(2.21) since  $\vec{V}_0 \equiv 0$ .

The perturbed quantities are Fourier analyzed in the horizontal plane, i.e., we write each perturbed quantity  $\phi_1(\vec{x}, t)$  in the form

$$\phi_1(\vec{x}, t) = \phi(z, t) e^{i(k_x x + k_y y)}. \quad (2.24)$$

Note the change in notation: A variable  $(\rho, \vec{V}, \eta, P, \vec{J}, \vec{B})$  without a numerical subscript shall henceforth denote the transform (a function of  $z$  and  $t$  only) of the associated perturbed variable  $(\rho_1, \vec{V}_1, \eta_1, P_1, \vec{J}_1, \vec{B}_1$  respectively).

The  $z$ -component of the curl of Eq. (2.19) gives

$$\rho_0 \dot{R} + L_1 R - i\mu_0 F J_z = q B_z \quad (2.25)$$

where  $\dot{R} \equiv \frac{\partial R}{\partial t}$ ,  $R' \equiv \frac{\partial R}{\partial z}$ ,

$$R(z, t) \equiv (\nabla \times \vec{V}_1)_z e^{-i(k_x x + k_y y)} = ik_x V_y(z, t) - ik_y V_x(z, t) \quad (2.26)$$

$$J_z(z, t) = \mu_0^{-1} (\nabla \times \vec{B}_1)_z e^{-i(k_x x + k_y y)} = \mu_0^{-1} (ik_x B_y - ik_y B_x) \quad (2.27)$$

$$F(z) \equiv \mu_0^{-1} [k_x B_{0x}(z) + k_y B_{0y}(z)] = \mu_0^{-1} \vec{k} \cdot \vec{B}_0 \quad (2.28)$$

$$q(z) \equiv i \mu_0^{-1} (k_x B_{0y}' - k_y B_{0x}') \quad (2.29)$$

$$L_1 \equiv -Dv_0 D + k^2 v_0, \quad D \equiv \frac{d}{dz}, \quad k^2 \equiv k_x^2 + k_y^2. \quad (2.30)$$

We define the  $z$ -displacement  $\xi(z, t)$  by

$$\xi(z, t) \equiv \int_0^t V_z(z, u) du + \xi_0(z) \quad (2.31)$$

where  $\xi_0(z)$  is an arbitrary function of  $z$ . The integration of Eqs. (2.18) and (2.21) with respect to  $t$  leads to

$$\rho(z, t) = \rho(z, 0) + \rho'_0 \xi_0 - \rho'_0 \xi \quad (2.32)$$

$$\eta(z, t) = \eta(z, 0) + \eta'_0 \xi_0 - \eta'_0 \xi \quad (2.33)$$

The  $z$ -component of the curl of Eq. (2.23) gives

$$\mu_0 \dot{J}_z + L_2 J_z - i\mu_0 F_R = - q\mu_0 \dot{\xi} - \frac{d}{dz} \{q[\eta'_0 \xi - \eta(z, 0) - \eta'_0 \xi_0]\} \quad (2.34)$$

where

$$L_2 \equiv - D\eta'_0 D + k^2 \eta'_0 . \quad (2.35)$$

The definition of  $R$  and Eq. (2.17) yields

$$V_x(z, t) = k^{-2} \{ik_x \dot{\xi}' + ik_y R\} \quad (2.36)$$

$$V_y(z, t) = k^{-2} \{ik_y \dot{\xi}' - ik_x R\} \quad (2.37)$$

and Eqs. (2.27) and (2.20) give

$$B_x(z, t) = k^{-2} \{ik_x B'_z + ik_y \mu_0 J_z\} \quad (2.38)$$

$$B_y(z, t) = k^{-2} \{ik_y B'_z - ik_x \mu_0 J_z\} \quad (2.39)$$

Given the two variables  $\xi(z, t)$  and  $B_z(z, t)$ ,  $J_z$  and  $R$  are determined by Eqs. (2.25) and (2.34), so that

$v_x$ ,  $v_y$ ,  $B_x$  and  $B_y$  can then be obtained from Eqs. (2.36)-(2.39).

We now proceed to obtain the equations determining the quantities  $\xi(z, t)$  and  $B_z(z, t)$ . We multiply the  $x$ -component of Eq. (2.19) by  $ik_x$  and the  $y$ -component of Eq. (2.19) by  $ik_y$ , add the resulting equations, and by means of Eqs. (2.17) and (2.20) obtain

$$\begin{aligned} k^2 P = & - \rho_0 \ddot{\xi}' + (\nu_0 \dot{\xi}')'' - k^2 \nu_0 \dot{\xi}' - \nu_0'' \dot{\xi}' + k^2 \nu_0' \dot{\xi} - \nu_0' \dot{\xi}'' \\ & - iF'B_z + iFB_z' - k^2 \mu_0^{-1} \vec{B} \cdot \vec{E}_0 \end{aligned} \quad (2.40)$$

Substituting this expression for  $P$  into the  $z$ -component of Eq. (2.19) and using Eq. (2.32) we find

$$\begin{aligned} L_3 \ddot{\xi} + L_4 \dot{\xi} - gk^2 \rho_0' \xi + iF[B_z'' - k^2 B_z] - iF'' B_z \\ = - gk^2 [\rho(z, 0) + \rho_0' \xi_0] \end{aligned} \quad (2.41)$$

where

$$L_3 \equiv - D\rho_0 D + k^2 \rho_0 \quad (2.42)$$

$$L_4 \equiv D^2 \nu_0 D^2 - 2k^2 D\nu_0 D + k^4 \nu_0 + k^2 \nu_0'' \quad (2.43)$$

The  $z$ -component of Eq. (2.23) and Eqs. (2.17) and (2.33) imply

$$\begin{aligned} \eta_0^{-1} \dot{B}_z + L_5 B_z - i\mu_0 F \eta_0^{-1} \dot{\xi} - iF' \eta_0' \eta_0^{-1} \xi \\ = - iF' \eta_0^{-1} [\eta(z, 0) + \eta_0' \xi_0] \end{aligned} \quad (2.44)$$

where

$$L_5 \equiv \mu_0^{-1} [-D^c + k^c] \quad (2.45)$$

The term  $iF(B_z'' - k^2 B_z) = -iF\mu_0 L_5 B_z$  is eliminated from Eq. (2.41) by means of Eq. (2.44), and we write the resulting equation together with Eq. (2.44) as the single matrix equation

$$\begin{aligned} & \begin{pmatrix} L_3 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \ddot{\xi} \\ \ddot{B}_z \end{pmatrix} + \begin{pmatrix} \mu_0^2 F^2 & \mu_0 iF \\ -\frac{\mu_0 iF}{\eta_0} & \eta_0^{-1} \end{pmatrix} \begin{pmatrix} \dot{\xi} \\ \dot{B}_z \end{pmatrix} + \\ & + \begin{pmatrix} \frac{\mu_0 F F' \eta_0'}{\eta_0} - gk^2 \rho_0' \\ -\frac{iF' \eta_0'}{\eta_0} \end{pmatrix} \begin{pmatrix} -iF'' \\ L_5 \end{pmatrix} \begin{pmatrix} \xi \\ B_z \end{pmatrix} \quad (2.46) \\ & = \begin{pmatrix} -gk^2 [\rho(z, 0) + \rho_0' \xi_0] + \mu_0 \eta_0^{-1} F F' [\eta(z, 0) + \eta_0' \xi_0] \\ -iF' \eta_0^{-1} [\eta(z, 0) + \eta_0' \xi_0] \end{pmatrix} \end{aligned}$$

Eqs. (2.13) (2.15), and (2.28) imply

$$F' \eta_0 = \mu_0^{-1} [k_x B_{0x}' \eta_0 + k_y B_{0y}' \eta_0] = k_x E_{0y} - k_y E_{0x} = \text{const.} \quad (2.47)$$

so that

$$0 = (F' \eta_0)' = F'' \eta_0 + F' \eta_0' \iff F'' = -\frac{F' \eta_0'}{\eta_0} \quad (2.48)$$

This completes the derivation of the equations for the perturbed quantities. The solution of Eqs. (2.17)-(2.23) is accomplished by solving Eq. (2.46) for  $\xi$  and  $B_z$ ;

the remaining variables are then obtained from Eqs. (2.25), (2.32)-(2.34), and Eqs. (2.36)-(2.40).

#### D. Boundary Conditions

The boundary conditions commonly employed in the literature are those associated with rigid perfectly conducting walls. These lead to the simplest boundary conditions on  $B_z$ , namely that  $B_z(0,t) = B_z(a,t) = 0$ . However, perfect conductors require that  $\vec{E}_{tan} = 0$  thereon, so that Eqs. (2.13) and (2.15) would require  $\vec{E}_0 = 0$  and  $\vec{B}_0(z) = \vec{B}_0(0) = \text{constant}$ , i.e., the assumption of perfectly conducting boundaries implies zero shear in the equilibrium magnetic field  $\vec{B}_0(z)$ . To avoid this specialization we shall assume rigid perfectly insulating walls at  $z = 0$  and  $z = a$ .<sup>\*</sup> Thus we require that

$$J_z(0,t) = J_z(a,t) = 0 \quad (2.49)$$

$$v_z(0,t) = v_z(a,t) = 0 \quad (2.50)$$

For  $v_0 > 0$ , we also require that

$$v_x(0,t) = v_y(0,t) = v_x(a,t) = v_y(a,t) = 0 \quad (2.51)$$

which together with Eq. (2.17) implies

$$v'_z(0,t) = v'_z(a,t) = 0 \quad (2.52)$$

---

\* Perfectly conducting boundary conditions, imposed on the perturbed quantities only (i.e.,  $E_0 \neq 0$ ), will nevertheless formally be considered in Section 3, due to their common usage in the literature.

Eqs. (2.26) and (2.51) lead to

$$R(0, t) = R(a, t) = 0 \quad (2.53)$$

while Eqs. (2.31), (2.50), and (2.52) give

$$\dot{\xi}(0, t) = \dot{\xi}(a, t) = 0 \quad (2.54)$$

and

$$\dot{\xi}'(0, t) = \dot{\xi}'(a, t) = 0 \quad (2.55)$$

We shall require that the arbitrary function  $\xi_0(z)$  appearing in Eq. (2.31) satisfy

$$\xi_0(0) = \xi_0(a) = 0 \quad (2.56)$$

so that Eqs. (2.31) and (2.50) yield

$$\xi(0, t) = \xi(a, t) = 0 . \quad (2.57)$$

It remains to obtain the boundary conditions on  $B_z(z, t)$ . We assume that Eqs. (2.6) and (2.7) hold everywhere and that there are no surface currents at the walls. These conditions then imply that  $B_z$  and  $\vec{B}_{\tan}$  are continuous at the walls, i.e.,

$$\begin{aligned} B_x(0+, t) &= B_x(0-, t), & B_y(0+, t) &= B_y(0-, t) \\ B_x(a+, t) &= B_x(a-, t), & B_y(a+, t) &= B_y(a-, t) \end{aligned} \quad (2.58)$$

and

$$B_z(0+, t) = B_z(0-, t), \quad B_z(a+, t) = B_z(a-, t) \quad (2.59)$$

Eqs. (2.20) and (2.58) lead to

$$B_z'(0+, t) = B_z'(0-, t), \quad B_z'(a+, t) = B_z'(a-, t) \quad (2.60)$$

Thus both  $B_z$  and  $B_z'$  are continuous at  $z = 0$  and  $z = a$ .

Outside the fluid, the equations  $\nabla \cdot \vec{B}_1 = 0$  and

$\nabla \times \vec{B}_1 = \mu_0 \vec{J}_1 = 0$  imply that

$$\vec{B}_1 = \vec{\nabla} \phi_1, \quad \nabla^2 \phi_1 = 0, \quad z < 0, z > a \quad (2.61)$$

and since we have Fourier transformed in the horizontal plane, we set  $\phi_1(\vec{x}, t) = \phi(z, t)e^{i(k_x x + k_y y)}$  and obtain from the last of Eqs. (2.61)

$$\phi''(z, t) - k^2 \phi(z, t) = 0, \quad z < 0, z > a \quad (2.62)$$

with solution  $\phi(z, t) = h(t)e^{\pm kz}$ . Therefore

$$B_z(z, t) = \begin{cases} -kh_1(t)e^{-kz}, & z > a \\ kh_2(t)e^{kz}, & z < 0 \end{cases} \quad (2.63)$$

where  $k \equiv \sqrt{k_x^2 + k_y^2} = \sqrt{k^2}$ , so that

$$B_z'(a+, t) = -kB_z(a+, t), \quad B_z'(0-, t) = kB_z(0-, t) \quad (2.64)$$

From the continuity of  $B_z$  and  $B_z'$  at 0 and a we obtain the boundary conditions

$$B_z'(a-, t) + kB_z(a-, t) = 0, \quad B_z'(0+, t) - kB_z(0+, t) = 0 \quad (2.65)$$

The magnetic field  $B_z(z, t)$  outside the fluid is given by

$$B_z(z, t) = \begin{cases} B_z(a, t)e^{-k(z-a)}, & z \geq a \\ B_z(0, t)e^{kz}, & z \leq 0 \end{cases} \quad (2.66)$$

We have completed the derivation of the necessary boundary conditions, and are now in a position to specify the domains  $D_n$  of the operators  $L_n$  ( $n = 1, 2, 3, 4, 5$ ) defined previously. We assume henceforth that  $\rho_0(z)$ ,  $\eta_0(z)$ , and  $v_0(z)$  are all in  $C^2[0, a]$  (the class of all twice continuously differentiable functions on  $[0, a]$ ). In view of boundary conditions (2.53), (2.49), (2.54), (2.55) and (2.65) we take

$$D_1 \equiv D_2 \equiv D_3 \equiv \{f(z) \mid f \in C^2[0, a], f(0) = f(a) = 0\} \quad (2.67)$$

$$D_4 \equiv \{f(z) \mid f \in C^4[0, a], f(0) = f(a) = f'(0) = f'(a) = 0\} \quad (2.68)$$

$$D_5 \equiv \{f(z) \mid f \in C^2[0, a], f'(a) + kf(a) = 0 = f'(0) - kf(0)\} \quad (2.69)$$

The operators  $L_n$  are all symmetric on  $D_n$  and in particular  $L_1 \geq 0$ ,  $L_2 > 0$ ,  $L_3 > 0$ ,  $L_5 \geq 0$  and we shall assume that  $L_4 \geq 0$  (it suffices for example that  $v_0'' \geq 0$  on  $[0, a]$ ).

### E. Dimensionless Variables

We proceed to write Eq. (2.46) in dimensionless form. To this end, we introduce the following definitions:

$$\zeta \equiv a^{-1}z, \quad 0 \leq z \leq a \quad (2.70)$$

$$\hat{\eta} \equiv \max_{[0, a]} \eta_0(z), \quad \hat{v} \equiv \max_{[0, a]} v_0(z), \quad \hat{\rho} \equiv \max_{[0, a]} \rho_0(z) \quad (2.71)$$

$$f(\zeta) \equiv \hat{\eta}^{-1} \eta_0(a\zeta), \quad h(\zeta) \equiv \hat{\rho}^{-1} \rho_0(a\zeta), \quad s(\zeta) \equiv \hat{v}^{-1} v_0(a\zeta) \quad (2.72)$$

$$\bar{J}^{*} \equiv \hat{\eta}^{-1} \bar{E}_0^* \quad (\text{constant}) \quad (2.73)$$

and assuming  $J^* \equiv |\bar{J}^{*}| > 0$ ,

$$\bar{B}^*(\zeta) \equiv \frac{\bar{B}_0(a\zeta)}{a\mu_0 J^*} = \bar{\beta}^* + \bar{e}_j^* \times \bar{e}_z^* \int_0^\zeta \frac{dr}{f(r)} \quad (2.74)$$

$$\bar{\beta}^* \equiv \frac{\bar{B}_0(0)}{a\mu_0 J^*}, \quad \bar{e}_j^* \equiv \frac{\bar{J}^{*}}{J^*} = \frac{\bar{E}_0^*}{|\bar{E}_0^*|} \quad (2.75)$$

$$\hat{\eta} \equiv a^2 \mu_0^{3/2} J^* \hat{\rho}^{-1/2}, \quad T \equiv (\hat{\rho}/\mu_0)^{1/2}/J^* \quad (2.76)$$

$$\bar{k}^* = \bar{k}^* a, \quad \kappa \equiv ka, \quad \gamma \equiv \frac{g\hat{\rho}}{a\mu_0 (J^*)^2}, \quad u \equiv \frac{t}{T}$$

$$w(\zeta, u) \equiv a^{-1} \xi(a\zeta, uT) \quad (2.77)$$

$$\psi(\zeta, u) \equiv (a\mu_0 J^*)^{-1} B_z(a\zeta, uT). \quad (2.78)$$

These definitions are valid for  $J^* \neq 0$  (nonzero magnetic shear) which represents the most interesting case. Indeed,  $\bar{J}^{*} = 0$  implies that  $\bar{B}_0^*$  and  $F$  are constants, and it will be shown in the sequel that exponential stability of a zero shear system is equivalent to gravitational stability, i.e., the system is exponentially stable if and only if  $\rho'_0 \leq 0$  on  $[0, a]$ . Thus the equilibrium magnetic field  $\bar{B}_0^*$  can affect exponential stability only if it possesses nonzero shear ( $J^* \neq 0$ ).

Equations (2.14), (2.72), and (2.73) imply

$$\bar{J}_0^*(z) = f^{-1}\left(\frac{z}{a}\right) \bar{J}^{*} \quad (2.79)$$

Note that the equilibrium quantities have been scaled so that by keeping  $\bar{J}^>^*$  fixed while letting  $\hat{\eta}$  vary,  $\bar{J}_0^>$ ,  $\bar{B}_0^>$ , and  $\bar{b}^>(\zeta)$  remain fixed independent of  $\hat{\eta}$ .

Equation (2.46) can now be expressed in terms of the dimensionless two-vector  $\theta$ , defined by

$$\theta(\zeta, u) \equiv \begin{pmatrix} w(\zeta, u) \\ \psi(\zeta, u) \end{pmatrix} \quad (2.80)$$

and takes the form

$$\ddot{P}\theta + K\dot{\theta} + H\theta = C \quad (2.81)$$

where  $\dot{\theta} \equiv \frac{\partial \theta}{\partial u}$ ,

$$P = \begin{pmatrix} \tilde{L}_3 & 0 \\ 0 & 0 \end{pmatrix} \quad (2.82)$$

$$K = \begin{pmatrix} \tilde{L}_4 & 0 \\ 0 & 0 \end{pmatrix} + \frac{\tilde{\eta}}{\eta f} \begin{pmatrix} (\vec{\kappa} \cdot \vec{b})^2 & i\vec{\kappa} \cdot \vec{b} \\ -i\vec{\kappa} \cdot \vec{b} & 1 \end{pmatrix} \quad (2.83)$$

$$H = \begin{pmatrix} G & i\kappa r \\ -i\kappa r & \tilde{L}_5 \end{pmatrix} \quad (2.84)$$

(We have used equation (2.48) to put  $H$  in symmetric form.)

$$r = (\vec{e}_\kappa \times \vec{e}_j) \cdot \vec{e}_z f' f^{-2} \quad (' \equiv \frac{\partial}{\partial \zeta}) \quad (2.85)$$

$$G = \kappa^2 [(\vec{e}_\kappa \cdot \vec{b}) r - \gamma h'(\zeta)] \quad (2.86)$$

$$\tilde{L}_3 = - \frac{d}{d\zeta} h \frac{d}{d\zeta} + \kappa^2 h, \quad \tilde{L}_5 = - \frac{d^2}{d\zeta^2} + \kappa^2 \quad (2.87)$$

$$\tilde{L}_4 = \delta \left[ \frac{d^2}{d\zeta^2} s \frac{d^2}{d\zeta^2} - 2\kappa^2 \frac{d}{d\zeta} s \frac{d}{d\zeta} + \kappa^4 s + \kappa^2 s'' \right] \quad (2.88)$$

$$\vec{e}_\kappa = \frac{\vec{\kappa}}{\kappa}, \quad \delta = \frac{\hat{v}_T}{a^2 \hat{\rho}} = \frac{\hat{v}}{a^2 J^*(\hat{\rho} \mu_0)^{1/2}} \quad (2.89)$$

$$C = \begin{cases} GW(\zeta, 0) + \kappa^2 \{ (\vec{e}_\kappa \cdot \vec{b}) (\vec{e}_\kappa \times \vec{e}_j) \cdot \vec{e}_z \eta(a\zeta, 0) f^{-2} \hat{\eta}^{-1} - \gamma \rho(a\zeta, 0) \hat{\rho}^{-1} \} \\ - i\kappa f^{-2} (\vec{e}_\kappa \times \vec{e}_j) \cdot \vec{e}_z [f' W(\zeta, 0) + \hat{\eta}^{-1} \eta(a\zeta, 0)] \end{cases} \quad (2.90)$$

The domains  $\tilde{D}_n$  of the operators  $\tilde{L}_n$  ( $n = 3, 4, 5$ ) are, from definitions (2.67), (2.68), and (2.69),

$$\tilde{D}_3 = \{f(\zeta) \mid f \in C^2[0, 1], f(0) = f(1) = 0\} \quad (2.91)$$

$$\tilde{D}_4 = \{f(\zeta) \mid f \in C^4[0, 1], f(0) = f(1) = f'(0) = f'(1) = 0\} \quad (2.92)$$

$$\tilde{D}_5 = \{f(\zeta) \mid f \in C^2[0, 1], f'(1) + \kappa f(1) = 0 = f'(0) - \kappa f(0)\} \quad (2.93)$$

For each fixed  $u$ ,  $\Theta(\zeta, u)$  regarded as a function of  $\zeta$  is an element of the Hilbert space  $\mathcal{H} = L_2[0, 1] \times L_2[0, 1]$ , and the domains  $D_P$ ,  $D_K$ , and  $D_H$  of the linear operators  $P$ ,  $K$ , and  $H$  are the following subsets of  $\mathcal{H}$ :

$$D_P = \tilde{D}_3 \times L_2[0, 1] \quad (2.94)$$

$$D_K = \begin{cases} \tilde{D}_4 \times L_2[0, 1], & \hat{v}_s > 0 \text{ on } [0, 1] \\ \mathcal{H}, & \hat{v} = 0 \end{cases} \quad (2.95)$$

$$D_H = L_2[0, 1] \times \tilde{D}_5. \quad (2.96)$$

The inner product  $\langle \cdot, \cdot \rangle$  on  $\mathcal{H}$  is of course given by

$$\langle \theta_1, \theta_2 \rangle = (w_1, w_2) + (\psi_1, \psi_2) \quad (2.97)$$

$$\text{with } (w_1, w_2) = \int_0^1 \bar{w}_1 w_2 d\zeta,$$

and it is easily seen that  $P$ ,  $K$ , and  $H$  are symmetric on their domains of definition. Furthermore, we have

$$\langle \theta, P\theta \rangle = \int_0^1 h\{|w'|^2 + \kappa^2|w|^2\} d\zeta \geq 0 \quad (2.98)$$

and

$$\langle \theta, K\theta \rangle = (w, \tilde{L}_4 w) + (\tilde{\eta}, \tilde{\eta}) \int_0^1 f^{-1} |(\bar{\kappa} \cdot \bar{b}) w + i\psi|^2 d\zeta \quad (2.99)$$

so that  $\tilde{L}_4 \geq 0$  implies that  $K \geq 0$ .

### 3. Stability

It will be shown in Section 4 that the sheet pinch is exponentially stable to disturbances with wave number  $\bar{\kappa}^> = \bar{\kappa}^>a^{-1}$  if and only if  $H = H(\bar{\kappa}^>) \geq 0$  for that value of  $\bar{\kappa}^>$ , so that the pinch is exponentially stable to arbitrary disturbances if and only if  $H \geq 0$  for arbitrary  $\bar{\kappa}^>$ . Necessary and sufficient conditions that  $H$  be positive semidefinite will now be given, and several conclusions regarding stability will be drawn therefrom. Since  $H$  is independent of  $v_0$  and  $\hat{\eta}$ , the stability criteria (but not the growth rates) will of course be independent of  $v_0$  and  $\hat{\eta}$  ( $\hat{\eta} > 0$ ).

We define the functional  $F_\varepsilon[\psi]$  for all real  $\tilde{\psi}(\zeta) \in \tilde{D}_5$  and all  $\varepsilon > 0$  such that  $G_\varepsilon \equiv G + \varepsilon > 0$  on  $[0,1]$  by

$$F_\varepsilon[\psi] \equiv \int_0^1 \left\{ (\psi')^2 + \kappa^2 [1 - \frac{r^2}{G_\varepsilon}] \psi^2 \right\} d\zeta + \kappa [\psi^2(0) + \psi^2(1)]. \quad (3.1)$$

Theorem 3.1.  $H(\bar{\kappa}^>) \geq 0$  on  $D_H$  if and only if  $G \geq 0$  on  $[0,1]$  and  $F_\varepsilon[\psi] \geq 0$  for all real  $\psi \in \tilde{D}_5$  and all  $\varepsilon > 0$ .

Proof: Our previous assumption that  $\rho_0 \in C^2[0,a]$  and  $\eta_0 \in C^2[0,a]$  implies that  $G = G(\bar{\kappa}^>, \zeta) \in C[0,1]$ . The necessity that  $G \geq 0$  on  $[0,1]$  is obvious. Suppose then that  $G \geq 0$  on  $[0,1]$ . Let  $W = W_1 + iW_2 \in L_2[0,1]$ ,

$\psi = \psi_1 + i\psi_2 \in \tilde{D}_5$ , and  $\Theta = \begin{pmatrix} W \\ \psi \end{pmatrix}$ . Then for  $\varepsilon > 0$ ,

$$\begin{aligned}
 \langle \Theta, H\Theta \rangle &= \int_0^1 \{\bar{W}[GW + i\kappa r\psi] + \bar{\psi}[-i\kappa rW + \tilde{L}_5\psi]\} d\zeta \\
 &= \int_0^1 \{G[W_1^2 + W_2^2] + 2\kappa r[W_2\psi_1 - W_1\psi_2] + |\psi'|^2 + \kappa^2|\psi|^2\} d\zeta - \psi \cdot \bar{\psi} \Big|_0^1 \\
 &= \int_0^1 \{G_\varepsilon[W_1 - \frac{\kappa r\psi_2}{G_\varepsilon}]^2 - \varepsilon W_1^2\} d\zeta + F_\varepsilon[\psi_2] \\
 &\quad + \int_0^1 \{G_\varepsilon[W_2 + \frac{\kappa r\psi_1}{G_\varepsilon}]^2 - \varepsilon W_2^2\} d\zeta + F_\varepsilon[\psi_1] \\
 &= R_-[\varepsilon, W_1, \psi_2] + F_\varepsilon[\psi_2] + R_+[\varepsilon, W_2, \psi_1] + F_\varepsilon[\psi_1]
 \end{aligned} \tag{3.2}$$

where  $R_\pm[\varepsilon, W, \psi] \equiv \int_0^1 \{G_\varepsilon[W \pm \frac{\kappa r\psi}{G_\varepsilon}]^2 - \varepsilon W^2\} d\zeta$ .

Now suppose there exists a real  $\psi_2(\zeta) \in \tilde{D}_5$  such that  $F_\varepsilon[\psi_2] = \Delta < 0$ , for some  $\varepsilon > 0$ . Then there exists a real  $W_1(\zeta) \in C^\infty[0,1]$  which vanishes identically outside some closed subinterval of  $(0,1)$  such that  $\int_0^1 G_\varepsilon[W_1 - \frac{\kappa r\psi_2}{G_\varepsilon}]^2 d\zeta < \frac{|\Delta|}{2}$ . Choosing  $\psi_1 \equiv 0$  and  $W_2 \equiv 0$ , equation (3.2) leads to  $\langle \Theta, H\Theta \rangle < \frac{\Delta}{2} < 0$ . Thus  $H \geq 0$  implies  $G \geq 0$  on  $[0,1]$  and  $F_\varepsilon[\psi] \geq 0$  for all  $\varepsilon > 0$  and all real  $\psi \in \tilde{D}_5$ .

Now suppose  $\langle \Theta, H\Theta \rangle = \Delta < 0$  for some  $\Theta = \begin{pmatrix} W_1+iW_2 \\ \psi_1+i\psi_2 \end{pmatrix} \in L_2[0,1] \setminus \tilde{D}_5$ . Then by equation (3.2),

$$\Delta = R_-[\varepsilon, W_1, \psi_2] + R_+[\varepsilon, W_2, \psi_1] + F_\varepsilon[\psi_1] + F_\varepsilon[\psi_2]$$

and this holds for all  $\varepsilon > 0$ . Clearly for some sufficiently small  $\varepsilon > 0$  we have

$$R_-[\varepsilon, W_1, \psi_2] + R_+[\varepsilon, W_2, \psi_1] \geq -\frac{\Delta}{2}$$

so that equation (3.2) yields

$$F_\varepsilon[\psi_1] + F_\varepsilon[\psi_2] \leq -\frac{\Delta}{2} < 0 ,$$

which implies that  $F_\varepsilon[\psi] < 0$  for  $\psi = \psi_1$  or  $\psi = \psi_2$ . Hence  $G \geq 0$  on  $[0,1]$  and  $F_\varepsilon[\psi] \geq 0$  for all real  $\psi \in \tilde{D}_5$  and all  $\varepsilon > 0$  implies that  $H \geq 0$ . This completes the proof.

We note that all the preceding statements of this section remain valid for the boundary conditions

$\psi(0) = \psi(1) = 0$  corresponding to perfectly conducting boundaries, provided only that  $\tilde{D}_5$  is replaced by  $\tilde{D}'_5 \equiv \{\psi \mid \psi \in C^2[0,1], \psi(0) = \psi(1) = 0\}$ .

The quantity  $r$ , defined in equation (2.85), vanishes identically in  $\zeta$  if  $f(\zeta)$  is constant or if  $\vec{\kappa}$  is parallel to  $\vec{J}^*$ . In this case,

$$H = \begin{pmatrix} -\kappa^2 \gamma h' & 0 \\ 0 & \tilde{L}_5 \end{pmatrix} \quad (3.3)$$

and

$$\langle \Theta, H \Theta \rangle = -\kappa^2 \gamma (W, h' W) + (\psi, \tilde{L}_5 \psi) .$$

Since  $\tilde{L}_5 \geq 0$  on  $\tilde{D}_5$  and  $\tilde{D}'_5$ ,  $H \geq 0$  if and only if  $h'(\zeta) \leq 0$  on  $[0,1]$ , for  $\kappa^2\gamma > 0$ . Note that if  $J^* = 0$  (i.e.,  $\bar{J}_0^> \equiv 0$ ), then  $F'(z) \equiv 0$  and the operator corresponding to  $H$  in equation (2.46) reduces to

$$\begin{pmatrix} -g\kappa^2\rho'_0 & 0 \\ 0 & L_5 \end{pmatrix}$$

and, as above, would be nonnegative definite if and only if  $\rho'_0(z) \leq 0$  on  $[0,a]$ , for  $\kappa^2g > 0$ . Thus if either  $\bar{J}_0^> \equiv 0$  or  $\eta_0$  is constant, then exponential stability is equivalent to gravitational stability, i.e., the sheet pinch is exponentially stable (for all  $\bar{k}^>$ ) if and only if  $g\rho'_0(z) \leq 0$  on  $[0,a]$ , and this holds for perfectly conducting as well as insulating boundaries. If  $g\rho'_0(z) > 0$  for some  $z \in [0,a]$ , the pinch will be exponentially unstable to disturbances with  $\bar{k}^>$  parallel to  $\bar{J}_0^>$  (regardless of whether  $\bar{J}_0^> \equiv 0$  or  $\eta_0$  is constant).

The preceding paragraph demonstrated the necessity that  $g\rho'_0(z) \leq 0$  on  $[0,a]$  for the sheet pinch to be stable (for arbitrary  $\bar{k}^>$ ). In general, with the exception of the cases  $\bar{J}_0^> \equiv 0$  (no shear) and constant  $\eta_0$ , it is not sufficient. To see this, we write

$$G = \kappa^2 [Q_\zeta(\bar{e}_\kappa^>) f' f^{-2} - \gamma h'] \quad (3.4)$$

where

$$Q_\zeta(\vec{e}_\kappa) \equiv [\vec{e}_\kappa \cdot \vec{b}^>(\zeta)] (\vec{e}_\kappa \times \vec{e}_j^>) \cdot \vec{e}_z^>, \quad 0 \leq \zeta \leq 1 \quad (3.5)$$

Let  $p(\zeta) = \int_0^\zeta f^{-1}(r) dr$ , so that by equation (2.74),

$$\vec{b}^>(\zeta) = \vec{\beta}^> + p(\zeta) \vec{e}_j^> \times \vec{e}_z^> \quad (3.6)$$

Inserting this into equation (3.5), we find

$$4Q_0(\vec{e}_\kappa) = (\vec{e}_\kappa \cdot [\vec{e}_j^> \times \vec{e}_z^> + \vec{\beta}^>])^2 - (\vec{e}_\kappa \cdot [\vec{e}_j^> \times \vec{e}_z^> - \vec{\beta}^>])^2 \quad (3.7)$$

and for  $\zeta > 0$ ,

$$Q_\zeta(\vec{e}_\kappa) = p^{-1} \{ (\vec{e}_\kappa \cdot [p \vec{e}_j^> \times \vec{e}_z^> + \frac{\vec{\beta}^>}{2}])^2 - (\vec{e}_\kappa \cdot \frac{\vec{\beta}^>}{2})^2 \} \quad (3.8)$$

It is immediately apparent that if  $\vec{\beta}^>$  is not parallel to  $\vec{e}_j^> \times \vec{e}_z^>$ , i.e., if  $\vec{b}^>$  is nonunidirectional, then for each fixed  $\zeta \in [0,1]$ ,  $Q_\zeta(\vec{e}_\kappa)$  assumes both positive and negative values as  $\vec{e}_\kappa^>$  varies. Thus even in the circumstance that  $g\rho_0'(\zeta) \equiv 0$ ,  $\vec{\beta}^> \cdot \vec{e}_j^> \neq 0$  and  $f'(\hat{\zeta}) \neq 0$  for some  $\hat{\zeta} \in [0,1]$  guarantee that  $G < 0$  at the point  $\hat{\zeta}$  for some range of values of  $\vec{e}_\kappa^>$  — the system would then be unstable to disturbances possessing those values of  $\vec{e}_\kappa^>$ . In other words, nonconstant  $\eta_0$ , constant  $\rho_0$  (or no gravity), and  $\vec{B}_0(z)$  nonunidirectional imply exponential instability, and this holds for perfectly conducting or insulating boundaries. Indeed, if  $\vec{B}_0(z)$  is nonunidirectional, the system can be made unstable for any  $g\rho_0(z)$  by choosing an  $\eta_0(z)$  with sufficiently large gradients. Suppose now that  $\vec{\beta}^>$  is parallel to

$\vec{e}_j \times \vec{e}_z$  and that  $g\rho'_0 \equiv 0$ . Let  $\vec{e}_j = \vec{e}_y$ , so that  $\vec{e}_j \times \vec{e}_z = \vec{e}_x$ , and put  $\vec{\beta} = \beta \vec{e}_x$ . Then

$$G = \kappa^2 (\vec{e}_\kappa \cdot \vec{e}_x)^2 [\beta + p(\zeta)] f' f^{-2} \quad (3.9)$$

so that a necessary condition for stability when  $g\rho'_0 \equiv 0$  is

$$f'(\zeta)[\beta + p(\zeta)] \geq 0 \quad \text{on } [0,1] \quad (3.10)$$

The function  $\beta + p(\zeta)$  is strictly increasing on  $[0,1]$ ; thus a value of  $\beta$  will exist such that equation (3.10) holds if and only if for every pair  $\zeta_1, \zeta_2 \in [0,1]$  for which  $f'(\zeta_1) < 0$  and  $f'(\zeta_2) > 0$  we have  $\zeta_1 < \zeta_2$ . Such a function  $f(\zeta)$  must attain its maximum ( $=1$ ) at  $\zeta = 0$  or  $\zeta = 1$ , and if  $f(\zeta_0) = \min_{[0,1]} f(\zeta) (> 0)$ , then  $f'(\zeta) \leq 0$  on  $[0, \zeta_0]$  and  $f'(\zeta) \geq 0$  on  $[\zeta_0, 1]$ , and equation (3.10) will hold for  $\beta = -p(\zeta_0)$ . If  $f' \geq 0$  on  $[0,1]$ , then any positive  $\beta$  will do, while if  $f' \leq 0$  on  $[0,1]$ , then any  $\beta < -p(1)$  will suffice. We conclude that when  $g\rho'_0 \equiv 0$ , the system will be exponentially unstable ( $G < 0$  for all  $\kappa > 0$ ) for any  $\eta_0(z)$  such that  $\eta'_0(z_1) > 0$  and  $\eta'_0(z_2) < 0$  where  $0 \leq z_1 < z_2 \leq a$ , and this holds for perfectly conducting or insulating boundaries.

The function  $Q_\zeta(\vec{e}_\kappa)$  is a bounded continuous function of  $\zeta$  and  $\vec{e}_\kappa$  for any given positive  $f \in C^2[0,1]$ . Therefore given any positive  $\eta_0(z) \in C^2[0,a]$  and any

$\vec{B}_0(0)$ , one can always make  $G > 0$  on  $[0,1]$  for arbitrary  $\vec{k} \neq 0$  by taking  $g\psi_0'$  sufficiently negative on  $[0,1]$  (see equation (3.4)). This raises the possibility of "gravitationally" stabilizing the sheet pinch. Also, we have just seen that for certain functions  $f(\zeta)$  we can make  $G \geq 0$  even in the absence of gravity by choosing  $\vec{\beta}^> (\vec{B}_0(0))$  appropriately, which raises the possibility of magnetic stabilization. However, according to Theorem 3.1,  $G \geq 0$  is only necessary for stability; the functionals  $F_\varepsilon$  must also be nonnegative if the system is to be stable. We now assume  $G \geq 0$  and investigate the functionals  $F_\varepsilon$ . We assume also that  $\eta_0$  is nonconstant and that  $J^* > 0$ , thereby excluding the trivial cases of  $\vec{J}_0^> \equiv 0$  and constant  $\eta_0$ , which have been dealt with previously.

#### Case I. Insulating Boundaries

Set  $\varepsilon = \kappa^2 > 0$ ,  $\psi_0 = 1 + \kappa\zeta(1-\zeta) \in \tilde{D}_5$ , and let

$$n(\zeta) = \frac{\kappa^2 r^2}{G + \kappa^2} = \frac{r^2}{(\vec{e}_\kappa^> \cdot \vec{b}^>)r - \gamma h' + 1} \geq 0 \quad (3.11)$$

Note that  $n$  is independent of  $\kappa$ , and that for  $\vec{k}^>$  nonparallel to  $\vec{e}_j^>$ , we have  $\int_0^1 n(\zeta) d\zeta > 0$ . Then

$$F_{\kappa^2}[\psi_0] = \kappa^2 \int_0^1 \{(1-2\zeta)^2 + \psi_0^2\} d\zeta + 2\kappa - \int_0^1 n\psi_0^2 d\zeta \quad (3.12)$$

so that

$$\lim_{\kappa \rightarrow 0+} \frac{F[\psi_0]}{\kappa^2} = - \int_0^1 n d\zeta < 0 \quad (\bar{k}^* \times \bar{e}_j^* \neq 0) \quad (3.13)$$

Thus for any  $n$ , however small, the sheet pinch will be exponentially unstable to disturbances with sufficiently small wavenumber  $k$ , provided  $\bar{k}^*$  is not parallel to  $\bar{J}_0^*$ ,  $\eta_0$  is nonconstant, and  $|\bar{J}_0^*| > 0$ . Gravitational or magnetic stabilization is therefore not possible for such equilibria with insulating boundaries, unless some mechanism is introduced which bounds positive  $k$  away from zero (e.g., finite boundaries in the  $x$  and  $y$  directions). It is clear from equation (3.1) that the pinch will be exponentially stable to disturbances with wavenumber  $\bar{k}^*$  satisfying

$$\kappa^2 \leq \sup_{\zeta} \left\{ \frac{r^2}{(\bar{e}_k^* \cdot \bar{b}^*) r - \gamma n'} \right\}.$$

Case II. Perfectly Conducting Boundaries.

Every real  $\psi(\zeta) \in \tilde{D}_5'$  satisfies  $\int_0^1 (\psi')^2 d\zeta \geq \pi^2 \int_0^1 \psi^2 d\zeta$ , so that equation (3.1) gives

$$F_\epsilon[\psi] \geq \int_0^1 \left\{ \pi^2 + \kappa^2 - \frac{r^2}{(\bar{e}_k^* \cdot \bar{b}^*) r - \gamma n' + \kappa^{-2} \epsilon} \right\} \psi^2 d\zeta$$

Therefore the system will be exponentially stable to disturbances with wave number  $\bar{k}^*$  provided

$$\sup_{\zeta} \left\{ \frac{r^2}{(\bar{e}_k^* \cdot \bar{b}^*) r - \gamma n'} \right\} \leq \pi^2 + \kappa^2 \quad (3.14)$$

and will be stable for arbitrary disturbances if

$$\sup_{\kappa, \zeta} \left\{ \frac{r^2}{(\vec{e}_\kappa \cdot \vec{b}) r - \gamma h^1} \right\} \leq \pi^2 \quad (3.15)$$

Gravitational stabilization is clearly always possible; given any  $\eta_0$  and  $\vec{B}_0(z)$ , equation (3.15) can be satisfied by taking  $g\rho_0'$  sufficiently large and negative. Magnetic stabilization is possible for suitable (e.g., monotone)  $\eta_0$ ; sufficient conditions for exponential stability are that  $g\rho_0' \leq 0$  on  $[0, a]$ ,  $\vec{B}_0(z)$  be unidirectional, equation (3.10) holds, and

$$S(\zeta) \leq \pi^2, \quad 0 \leq \zeta \leq 1, \quad (3.16)$$

where

$$S(\zeta) \equiv \begin{cases} f^{-2} \left| \frac{f'(\zeta)}{\beta + p(\zeta)} \right|, & \beta + p(\zeta) \neq 0 \\ \lim_{\zeta \rightarrow \zeta_0} f^{-2} \left| \frac{f'(\zeta)}{\beta + p(\zeta)} \right|, & p(\zeta_0) = -\beta \end{cases}, \quad (3.17)$$

for  $0 \leq \zeta \leq 1$ .

#### 4. Stability Theorems

In this section we prove the assertion made previously: The pinch is exponentially stable to disturbances of wavenumber  $\bar{k}^> = \bar{k}^a a^{-1}$  if and only if  $H(\bar{k}^>) \geq 0$ . This is accomplished by a straightforward application of several theorems, which we state and prove in an abstract setting; they are therefore by no means restricted in application to the specific problem of the MHD sheet pinch.

We consider functions  $f(t)$ , defined for  $t \geq 0$ , whose range is contained in some inner-product space with norm and inner product denoted by  $\| \cdot \|$  and  $( \cdot, \cdot )$ . Such a function  $f(t)$  is said to be exponentially stable if for every  $\epsilon > 0$  there exists a constant  $M_\epsilon < \infty$  such that  $\|f(t)\| \leq M_\epsilon e^{\epsilon t}$  for  $t \geq 0$  (if  $f(t)$  is real or complex-valued, the appropriate norm is of course the modulus of  $f$ ). The two theorems to follow provide the sufficient conditions for exponential stability.

Theorem 4.1. Let  $P$ ,  $H$  and  $iA$  be symmetric operators defined in an innner-product space, and suppose that  $H \geq 0$  and  $\delta = \inf \frac{(\zeta, P\zeta)}{(\zeta, \zeta)} > 0$ . Then if  $f(t)$  is exponentially stable, so is every solution  $\chi(t)$  of the equation

$$P\dot{\chi} + [H + A]\chi = f(t) \quad t \geq 0 \quad (4.1)$$

$$\begin{aligned}
\text{Proof: } \frac{d}{dt} (\chi, P\dot{\chi}) &= (P\dot{\chi}, \dot{\chi}) + (\chi, P\ddot{\chi}) \\
&= (f - [H + A]\chi, \dot{\chi}) + (\chi, f - [H + A]\chi) \\
&= 2 \operatorname{Re} (f, \chi) - 2(\chi, H\chi) \leq 2 \operatorname{Re} (f, \chi).
\end{aligned}$$

Integrating from 0 to  $t$  and using the exponential stability of  $f(t)$  and the Schwarz inequality, we obtain

$$\delta \|\chi\|^2 \leq (\chi, P\chi) \leq (\chi_0, P\chi_0) + 2M_\varepsilon \int_0^t e^{\varepsilon\tau} \|\chi(\tau)\| d\tau$$

so that

$$\|\chi(t)\| \leq g(t) = [\alpha + \beta \int_0^t e^{\varepsilon\tau} \|\chi\| d\tau]^{1/2} \quad (4.2)$$

where  $\alpha \equiv \delta^{-1}(\chi_0, P\chi_0)$  and  $\beta \equiv 2\delta^{-1}M_\varepsilon$ .

Thus  $\|\chi\|/g \leq 1$ , and since  $\dot{g}(t) = (\beta/2)g^{-1}\|\chi\|e^{\varepsilon t}$ ,

$$\begin{aligned}
g(t) - g(0) &= \left(\frac{\beta}{2}\right) \int_0^t e^{\varepsilon u} \|\chi\| g^{-1}(u) du \leq \left(\frac{\beta}{2}\right) \int_0^t e^{\varepsilon u} du \\
&= \frac{\beta}{2\varepsilon} [e^{\varepsilon t} - 1].
\end{aligned}$$

From equation (4.2) we obtain

$$\|\chi(t)\| \leq g(0) + \beta(2\varepsilon)^{-1}e^{\varepsilon t} \leq [g(0) + \beta(2\varepsilon)^{-1}]e^{\varepsilon t}.$$

Theorem 4.2. Let  $P$ ,  $K$  and  $H$  be nonnegative symmetric operators defined in an inner-product space, and let  $\zeta(t)$  be any solution of the equation

$$P\ddot{\zeta} + K\dot{\zeta} + H\zeta = r(t), \quad t \geq 0. \quad (4.3)$$

(A) If  $\|r(t)\| \leq M$ ,  $\|\dot{r}(t)\| \leq N$  for  $t \geq 0$ , where  $M$  and  $N$  are constants, and if  $\delta \equiv \inf_{(\xi, \xi)} \frac{(\xi, H\xi)}{(\xi, \xi)} > 0$ , then there exist positive constants  $A$ ,  $B$ ,  $C$ ,  $D$  and  $E$  such that

$$\|\zeta(t)\| \leq At + B, \quad t \geq 0, \quad (4.4)$$

$$(\dot{\zeta}, P\dot{\zeta}) \leq Ct^2 + Dt + E, \quad t \geq 0. \quad (4.5)$$

(B) If  $r(t)$  and  $\dot{r}(t)$  are exponentially stable and if  $\inf_{(\xi, \xi)} \frac{(\xi, [\alpha^2 P + \alpha K + H]\xi)}{(\xi, \xi)} > 0$  for all  $\alpha > 0$ , then  $\zeta$  and  $(\dot{\zeta}, P\dot{\zeta})$  are exponentially stable.

Proof: (A)  $\frac{d}{dt} \{(\dot{\zeta}, P\dot{\zeta}) + (\zeta, H\zeta)\} = (P\ddot{\zeta} + H\zeta, \dot{\zeta}) + (\dot{\zeta}, P\ddot{\zeta} + H\zeta)$

$$= (r - K\dot{\zeta}, \dot{\zeta}) + (\dot{\zeta}, r - K\dot{\zeta}) = 2 \operatorname{Re}(r, \dot{\zeta}) - 2(\dot{\zeta}, K\dot{\zeta})$$

$$\leq 2 \operatorname{Re}(r, \dot{\zeta}) = \frac{d}{dt} 2 \operatorname{Re}(r, \zeta) - 2 \operatorname{Re}(\dot{r}, \zeta).$$

Integrating from 0 to  $t$  and using the Schwarz inequality, we obtain

$$(\dot{\zeta}, P\dot{\zeta}) + (\zeta, H\zeta) \leq \alpha + 2\|r\|\|\zeta\| + 2 \int_0^t \|\dot{r}\| \|\zeta\| du \quad (4.6)$$

where  $\alpha \equiv (\dot{\zeta}_0, P\dot{\zeta}_0) + (\zeta_0, H\zeta_0) - 2 \operatorname{Re}(r_0, \zeta_0)$ . Hence

$$\delta \|\zeta\|^2 \leq \alpha + 2M\|\zeta\| + 2N \int_0^t \|\zeta(u)\| du,$$

so that

$$(\|\zeta\| - M\delta^{-1})^2 \leq \alpha\delta^{-1} + M^2\delta^{-2} + 2N\delta^{-1} \int_0^t \|\zeta\| du.$$

Set  $p(t) \equiv \int_0^t \|\xi\| du$ ,  $A_1 \equiv \alpha\delta^{-1} + M^2\delta^{-2}$ ,  $B_1 \equiv 2N\delta^{-1}$ .

Taking the square root of both sides of the above inequality, we obtain

$$\|\xi(t)\| = \dot{p}(t) \leq (A_1 + B_1 p)^{1/2} + M\delta^{-1} \quad (4.7)$$

so that

$$\frac{d}{dt} \{2B_1^{-1}(A_1 + B_1 p)^{1/2}\} = \dot{p}(A_1 + B_1 p)^{-1/2} \leq 1 + M\delta^{-1}A_1^{-1/2}.$$

Integrating from 0 to  $t$  yields

$$(A_1 + B_1 p)^{1/2} \leq \left(\frac{B_1}{2}\right)(1 + M\delta^{-1}A_1^{-1/2})t + A_1^{1/2},$$

and equation (4.4) follows at once from equation (4.7).

From equations (4.4) and (4.6) we find

$$(\dot{\xi}, P\dot{\xi}) \leq \alpha + 2M(At + B) + 2N \int_0^t (Au + B) du$$

which implies equation (4.5).

(B) Let  $\varepsilon > 0$ , and set  $\xi(t) = e^{\varepsilon t}\xi(t)$ . Then  $\xi(t)$  satisfies

$$P\xi + K_\varepsilon \dot{\xi} + H_\varepsilon \xi = f(t) \equiv r e^{-\varepsilon t}, \quad t \geq 0,$$

where  $K_\varepsilon \equiv 2\varepsilon P + K \geq 0$ ,  $H_\varepsilon \equiv \varepsilon^2 P + \varepsilon K + H \geq 0$ , and

$\inf (\xi, H_\varepsilon \xi) / (\xi, \xi) > 0$ . Now  $\|f\| = \|r\|e^{-\varepsilon t} \leq M_\varepsilon e^{\varepsilon t} e^{-\varepsilon t} = M_\varepsilon$  and  $\|\dot{f}\| = \|\dot{r} - \varepsilon r\|e^{-\varepsilon t} \leq (\|\dot{r}\| + \varepsilon \|r\|)e^{-\varepsilon t} \leq N_\varepsilon + \varepsilon M_\varepsilon$  so

that by (A),  $\|\xi(t)\| \leq At + B$  for  $t \geq 0$ . Therefore  $\|\zeta(t)\| = \|\xi\|e^{\varepsilon t} \leq (At + B)e^{\varepsilon t}$  for  $t \geq 0$ , which implies that  $\zeta$  is exponentially stable. Since  $r$ ,  $\dot{r}$ , and  $\zeta$  are exponentially stable, given any  $\varepsilon > 0$  there exist constants  $M_\varepsilon$ ,  $N_\varepsilon$  and  $\tilde{M}_\varepsilon$  such that  $\|r\| \leq M_\varepsilon e^{\varepsilon t}$ ,  $\|\dot{r}\| \leq N_\varepsilon e^{\varepsilon t}$ , and  $\|\zeta\| \leq \tilde{M}_\varepsilon e^{\varepsilon t}$  for  $t \geq 0$ . It follows from equation (4.6) that

$$\begin{aligned} (\dot{\zeta}, P\dot{\zeta}) &\leq \alpha + 2M_\varepsilon \tilde{M}_\varepsilon e^{2\varepsilon t} + 2N_\varepsilon \tilde{M}_\varepsilon \int_0^t e^{2\varepsilon u} du \\ &\leq [\alpha + 2M_\varepsilon \tilde{M}_\varepsilon + \varepsilon^{-1} N_\varepsilon \tilde{M}_\varepsilon] e^{2\varepsilon t} \end{aligned}$$

which proves the exponential stability of  $(\dot{\zeta}, P\dot{\zeta})$ .

Exponential stability of the pinch for  $H \geq 0$  follows easily from Theorems 4.1 and 4.2. The crucial equations are (2.25), (2.34), and (2.81) (or its equivalent (2.46)). These are differential equations in  $t$  for the quantities  $R$ ,  $J_z$ ,  $W$  (or  $\xi$ ), and  $\psi$  (or  $B_z$ ); the remaining variables are all obtained algebraically from this fundamental set and its derivatives with respect to  $t$  and  $z$ . We show that  $H \geq 0$  implies the exponential stability of  $R$ ,  $J_z$ ,  $W$  and  $\psi$  in the  $L_2$  norm. Suppose then that  $H \geq 0$ , where  $H$  is given by equation (2.84). It is a simple matter to verify that  $P$ ,  $K$ , and  $H$ , given by equations (2.82)-(2.84) with domains (2.94)-(2.96), satisfy the hypothesis of Theorem 4.2 (B);

$C$ , given by equation (2.90), is independent of  $t$  so that  $C$  and  $\dot{C}$  are exponentially stable; therefore Theorem 4.2 (B) implies that the solution  $\theta(t)$  of equation (2.81) is exponentially stable, as is  $(\dot{\theta}, \dot{P}\dot{\theta})$ . This immediately establishes the exponential stability of  $W$ ,  $\psi$ ,  $\dot{W}$ ,  $\dot{\psi}'$ , and therefore of  $\xi$ ,  $B_z$ ,  $\dot{\xi}$ , and  $\dot{\xi}'$ . From the fact that  $\frac{d}{dt} \|\xi'\| \leq \|\dot{\xi}'\|$  for  $\|\xi'\| > 0$ , we infer that  $\xi'$  is exponentially stable. Equations (2.25) and (2.34) can be written as the matrix system

$$\dot{P}\dot{\chi} + (H + A)\chi = f$$

with

$$P = \begin{pmatrix} \rho_0 & 0 \\ 0 & \mu_0 \end{pmatrix}, \quad H = \begin{pmatrix} L_1 & 0 \\ 0 & L_2 \end{pmatrix}, \quad A = \begin{pmatrix} 0 & -i\mu_0 F \\ -i\mu_0 F & 0 \end{pmatrix}$$

$$\chi = \begin{pmatrix} R \\ J_z \end{pmatrix}, \quad f = \begin{pmatrix} qB_z \\ -q\mu_0 \dot{\xi} - \frac{d}{dz} \{q[\eta'_0 \xi - \eta(z, 0) - \eta'_0 \xi_0]\} \end{pmatrix}$$

The hypothesis of Theorem 4.1 is satisfied, and we conclude that  $R$  and  $J_z$  are exponentially stable.

The case  $\vec{k} = 0$  requires separate consideration. In this circumstance, the perturbed quantities are functions of  $z$  and  $t$  only, and equations (2.17)-(2.21) and (2.23) and boundary conditions (2.50)-(2.51) lead to (we omit the subscript 1 on the perturbed variables)

$$V_z \equiv 0, \quad J_z \equiv 0, \quad B_z = \text{constant}$$

$$\rho(z, t) = \rho(z, 0), \quad \eta(z, t) = \eta(z, 0)$$

$$P(z, t) = -g \int_0^z \rho(u, 0) du - \mu_0^{-1} \vec{B}_0 \cdot \vec{B}_0 + \text{constant}$$

$$\dot{\rho_0} V_x + L_1 V_x = \eta_0^{-1} E_{0y} B_z, \quad V_x(0, t) = V_x(a, t) = 0 \\ (\nu_0 > 0)$$

$$\dot{\rho_0} V_y + L_1 V_y = -\eta_0^{-1} E_{0x} B_z, \quad V_y(0, t) = V_y(a, t) = 0 \\ (\nu_0 > 0)$$

$$\mu_0 \dot{J}_x = - B_y', \quad \mu_0 \dot{J}_y = B_x',$$

$$\dot{\mu_0} B_x + L_2 B_x = (\eta B_{0x}')', \quad B_x(0, t) = B_x(a, t) = 0$$

$$\dot{\mu_0} B_y + L_2 B_y = (\eta B_{0y}')', \quad B_y(0, t) = B_y(a, t) = 0.$$

The boundary conditions imposed on  $B_x$  and  $B_y$  correspond to perturbations with zero net electric current, i.e., they imply and are implied by perturbations satisfying  $\int_0^a \vec{J}(z, t) dz = 0$ . The operators  $L_1$  and  $L_2$  are nonnegative for the given boundary data, and exponential stability follows from Theorem 4.1.

Having established the sufficiency of  $H \geq 0$  for exponential stability, we turn to the demonstration of its necessity. We give two proofs. The first is quite general in application but assumes the existence of solutions to equation (2.81) satisfying certain initial data -- an assumption obviously justified (indeed required) on physical grounds and reasonable mathematically, as

the initial data and the equilibrium quantities can be chosen to be  $C^\infty$  functions. The second proof makes no assumptions -- there we show that if  $H$  is not positive semidefinite, equation (2.81) with  $C = 0$  possesses a normal-mode solution  $\theta(z, t) = \theta(z)e^{\omega t}$  with  $\omega > 0$ .

Note that it is sufficient to demonstrate the existence of an exponentially growing solution to the homogeneous equation (2.81), since given any  $\xi_0(z)$ , we may choose  $\eta(z, 0)$  and  $\rho(z, 0)$  such that  $C = 0$  (see equation (2.46)).

Theorem 4.3. Let  $P$ ,  $K$ , and  $H$  be symmetric operators defined in an inner-product space,  $P \geq 0$ ,  $K \geq 0$ , and  $-\infty < \delta \equiv \inf H < 0$ . Suppose that for all sufficiently small positive  $\varepsilon$  the equation  $P\ddot{\xi} + K\dot{\xi} + H\xi = 0$  admits of a solution  $\xi(t; \varepsilon)$  for  $t \geq 0$  satisfying the initial data  $\xi(0; \varepsilon) = \eta$ ,  $\dot{\xi}(0; \varepsilon) - \varepsilon\eta \in N_P$ , where  $N_P$  is the nullspace of  $P$ ,  $\xi \in D_P \cap D_K \cap D_H$  for  $t \geq 0$ , and  $(\eta, H\eta) < 0$ . Then there exists positive constants  $\alpha$  and  $\beta$  such that  $\|\xi(t; \alpha)\| \geq \beta e^{\alpha t}$  for  $t \geq 0$ .

Proof: Let  $\varepsilon \geq 0$ , and define  $H_\varepsilon \equiv \varepsilon^2 P + \varepsilon K + H$  and  $K_\varepsilon \equiv 2\varepsilon P + K$ . Then  $(\eta, H_0\eta) = (\eta, H\eta) < 0$ , and since  $(\eta, H_\varepsilon\eta)$  is a continuous function of  $\varepsilon$ , there exists  $\alpha > 0$  such that  $(\eta, H_\alpha\eta) < 0$  and  $\xi(t; \alpha)$  exists. Let  $\xi(t) \equiv \xi(t; \alpha)e^{-\alpha t}$ . Then  $\xi(t)$  satisfies the equation  $P\ddot{\xi} + K_\alpha\dot{\xi} + H_\alpha\xi = 0$ , and we have  $\xi_0 = \xi(0; \alpha) = \eta$ ,

$\dot{\xi}_0 = \dot{\zeta}(0; \alpha) - \alpha \zeta(0; \alpha) = \dot{\zeta}(0; \alpha) - \alpha \eta \in N_P$ . Now  
 $\frac{d}{dt} \{(\dot{\xi}, P\dot{\xi}) + (\xi, H_\alpha \xi)\} = -2(\dot{\xi}, K_\alpha \dot{\xi}) \leq 0$ , so that  
 $5\|\xi\|^2 \leq (\xi, H_\alpha \xi) \leq (\dot{\xi}, P\dot{\xi}) + (\xi, H_\alpha \xi) \leq (\dot{\xi}_0, P\dot{\xi}_0) + (\xi_0, H_\alpha \xi_0)$   
 $= (\eta, H_\alpha \eta) < 0$ , and we have  $\|\xi(t)\|^2 \geq 5^{-1}(\eta, H_\alpha \eta) > 0$   
 for  $t \geq 0$ . Therefore  $\|\xi(t; \alpha)\| = \|\xi\| e^{\alpha t} \geq [5^{-1}(\eta, H_\alpha \eta)]^{1/2} e^{\alpha t}$ .

Theorem 4.4. Suppose  $v_0 \equiv 0$ ,  $\kappa > 0$ , and that  $f(\zeta)$  and  $h(\zeta)$  are positive continuously differentiable functions on  $[0,1]$ . Let  $\inf_{D_H} \frac{\langle \theta, H\theta \rangle}{\langle \theta, \theta \rangle} < 0$ . Then there exists a nontrivial solution to the equation

$$P\ddot{\theta} + K\dot{\theta} + H\theta(\zeta, t) = 0 \quad (4.8)$$

(the homogeneous form of equation (2.81)) of the form

$$\theta(\zeta, t) = \begin{pmatrix} w(\zeta) \\ \psi(\zeta) \end{pmatrix} e^{\Omega t} \text{ where } \Omega \text{ is a positive constant.}$$

Proof. Let  $\mathcal{H}$  denote the Hilbert space  $L_2[0,1]$ ,  
 $\mathcal{H}^2 = L_2[0,1] \times L_2[0,1]$ , and let  $D_5$  denote either  $\overset{\sim}{D}_5$  or  $\overset{\sim}{D}_5'$ .  
 The hypotheses on  $h$  and  $\kappa$  guarantee the existence of the positive compact Hermitian integral operators  $K_3$  and  $K_5$  on  $\mathcal{H}$  possessing the following properties:

$$K_3 \overset{\sim}{L}_3 = I \text{ on } \overset{\sim}{D}_3, \quad K_5 \overset{\sim}{L}_5 = I \text{ on } D_5 \quad (4.9)$$

$$\overset{\sim}{L}_3 K_3 = I \text{ on } C[0,1], \quad \overset{\sim}{L}_5 K_5 = I \text{ on } C[0,1] \quad (4.10)$$

$$K_3(\mathcal{H}) \subset C[0,1], \quad K_5(\mathcal{H}) \subset C[0,1] \quad (4.11)$$

Define  $k_3 \equiv K_3^{1/2}$ ,  $k_5 \equiv K_5^{1/2}$ . Then  $k_3$  and  $k_5$  are positive compact Hermitian operators on  $\mathcal{H}$ . It will be convenient to denote the elements of the symmetric matrix operators  $K$  and  $H$  by  $K_{ij}$  and  $H_{ij}$  ( $i, j = 1, 2$ ). For each real  $\omega$  we define the operators

$$P_\omega = \begin{pmatrix} \omega^2 I & 0 \\ 0 & I \end{pmatrix}, \quad C_\omega = \begin{pmatrix} k_3[\omega K_{11} + H_{11}]k_3 & k_3[\omega K_{12} + H_{12}]k_5 \\ k_5[\omega K_{21} + H_{21}]k_3 & k_5[\omega K_{22}]k_5 \end{pmatrix}$$

The hypothesis on  $f$  and  $h$  insures that  $H_{11}$ ,  $H_{12}$ ,  $H_{21}$  as well as all the  $K_{ij}$  are continuous functions of  $\zeta$  on  $[0,1]$ . Thus  $C_\omega$  is compact.  $P_\omega$  and  $C_\omega$  are clearly Hermitian. Let  $F(\omega) = \inf_{\mathcal{H}^2} \frac{\langle \xi, [P_\omega - C_\omega] \xi \rangle}{\langle \xi, \xi \rangle}$ .  $F(\omega)$  is a real-valued continuous function of  $\omega$  on  $(-\infty, \infty)$ . It is not difficult to show that  $F(\omega)$  is nonnegative for all sufficiently large  $\omega$ , and that  $\inf_{D_H} \frac{\langle \theta, H \theta \rangle}{\langle \theta, \theta \rangle} < 0$  implies  $F(0) < 0$ . Therefore there exists  $\Omega > 0$  such that  $F(\Omega) = 0$ , and the definition of  $F(\Omega)$  yields  $\sup_{\mathcal{H}^2} \frac{\langle \xi, P_\Omega \xi \rangle}{\langle \xi, \xi \rangle} = 1$ . It follows from well-known theorems on compact Hermitian operators that there exists a nontrivial  $\xi = \begin{pmatrix} \xi_1(\zeta) \\ \xi_2(\zeta) \end{pmatrix} \in \mathcal{H}^2$  such that  $P_\Omega \xi = C_\Omega \xi$ . Let  $w(\zeta) = k_3 \xi_1$  and  $\psi(\zeta) = k_5 \xi_2$ . Then we have

$$\Omega^2 w = -k_3 \{ [\Omega K_{11} + H_{11}]w + [\Omega K_{12} + H_{12}] \psi \} \quad (4.12)$$

$$\psi = -k_5 \{ [\Omega K_{21} + H_{21}]w + [\Omega K_{22}] \psi \} \quad (4.13)$$

It follows at once from (4.11) that  $W$  and  $\psi$  are continuous on  $[0,1]$ ; (4.10) then implies that  $W \in \overset{\sim}{D}_3$  and  $\psi \in \overset{\sim}{D}_5$ .

Multiplying both sides of equations (4.12) and (4.15) on the left with  $\overset{\sim}{L}_3$  and  $\overset{\sim}{L}_5$ , respectively, we obtain

$$\{\Omega^2 P + \Omega K + H\} \begin{pmatrix} W \\ \psi \end{pmatrix} = 0 ,$$

and the proof is complete.

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